



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s): Clark et al.

Appl. No.: 10/039,311

Filed: 10/26/2001

Title: STERILIZATION OF PACKAGES  
AND THEIR CONTENTS USING  
LIGHT

Group Art Unit: 2818

Examiner: Unknown

Attorney Docket No.: 70625

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation identifying references which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the cited references is that this is the closest art of which Applicant is aware.

Copies of these references, with the exception of EP 1033138, are not provided herewith pursuant to 37 C.F.R. 1.98(d) as copies of these references were previously submitted in United States Patent Application No. 08/846,102, filed 5/1/1997 which was relied on for an earlier effective filing date under 35 U.S.C. 120.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

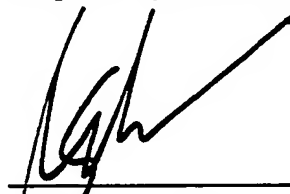
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: December 27, 2002

Typed Name: Thomas F. Lebens

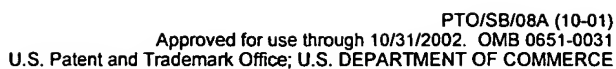
Signature: \_\_\_\_\_

Respectfully submitted,

  
\_\_\_\_\_  
Thomas F. Lebens  
Attorney/Agent for Applicant(s)  
Reg. No. 38221

Date: December 27, 2002

Telephone No.: 805-781-2865



Substitute for form 1449A/PTO

*(use as many sheets as necessary)*

**Complete if Known**

<b>Application Number</b>	10/039,311
<b>Filing Date</b>	10/26/2001
<b>First Named Inventor</b>	Clark et al.
<b>Art Unit</b>	2881
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	70625

Sheet	1	of	2
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## U.S. PATENT DOCUMENTS

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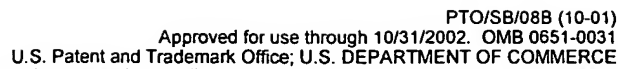
## FOREIGN PATENT DOCUMENTS

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Examiner Signature		Date Considered	
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
*(use as many sheets as necessary)*

of 2

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